

**UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK**

MICHAEL KRANTZ,

Plaintiff,

v.

HSBC SECURITIES (USA) INC.,

Defendant.

Civil Action No. 1:23-cv-08059-LAP

**RULE 7.1 CORPORATE DISCLOSURE
STATEMENT**

Defendant HSBC Securities (USA) Inc., through its undersigned counsel, states that it is wholly owned by HSBC Markets (USA) Inc. Upon information and belief, no publicly traded company owns more than 10% of the stock of HSBC Markets (USA) Inc., or any entity that might be considered an indirect parent.

K&L GATES LLP

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HSBC Securities (USA) Inc.

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